Medical Necessity Guidelines: Dental Procedures Requiring Hospitalization

Effective: August 22, 2018

Prior Authorization Required
If REQUIRED, submit supporting clinical documentation pertinent to service request.

<table>
<thead>
<tr>
<th>Applies to:</th>
<th>Yes ☒ No ☐</th>
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</thead>
<tbody>
<tr>
<td>COMMERCIAL Products</td>
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<tr>
<td>☒ Tufts Health Plan Commercial products; Fax: 617.972.9409</td>
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<tr>
<td>☐ Tufts Health Freedom Plan products; Fax: 617.972.9409</td>
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<tr>
<td>• CareLinkSM – Refer to <a href="#">CareLink Procedures, Services and Items Requiring Prior Authorization</a></td>
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TUFTS HEALTH PUBLIC PLANS Products

<table>
<thead>
<tr>
<th>Applies to:</th>
<th>Yes ☒ No ☐</th>
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<tbody>
<tr>
<td>☒ Tufts Health Direct – A Massachusetts Qualified Health Plan (QHP) (a commercial product); Fax:888.415.9055</td>
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<tr>
<td>☒ Tufts Health Together – MassHealth MCO Plan and Accountable Care Partnership Plans; Fax: 888.415.9055</td>
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<tr>
<td>☒ Tufts Health RITogether – A Rhode Island Medicaid Plan; Fax: 857.304.6404</td>
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<tr>
<td>☒ Tufts Health Unify* – OneCare Plan (a dual-eligible product); Fax: 781.393.2607</td>
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*The MNG applies to Tufts Health Unify members unless a less restrictive LCD or NCD exists.

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<td>SENIOR Products</td>
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<tr>
<td>• Tufts Health Plan Senior Care Options (SCO), (a dual-eligible product) – Refer to the <a href="#">Tufts Health Plan SCO Prior Authorization List</a></td>
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<tr>
<td>• Tufts Medicare Preferred HMO, (a Medicare Advantage product) – Refer to the <a href="#">Tufts Medicare Preferred HMO Prior Authorization and Inpatient Notification List</a></td>
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Note: While you may not be the provider responsible for obtaining prior authorization, as a condition of payment you will need to make sure that prior authorization has been obtained.

OVERVIEW
Tufts Health Plan may cover certain costs associated with dental procedures that are performed in an acute care inpatient facility or ambulatory surgery center. This coverage may include all medically necessary hospital or surgical day care facility charges, as well as the administration of general anesthesia by a licensed anesthesiologist or anesthetist for dental procedures for Members who meet the criteria described below.

CLINICAL COVERAGE CRITERIA
Tufts Health Plan may provide coverage for services related to anesthesia and hospitalization for nondiscretionary dental procedures for Members meeting the criteria in either one of the categories below:

1.) Members meeting the following clinical criteria:
   - Member requires complex dental work
     - Examples of "complex" dental procedures include the following:
       - Multiple non-cosmetic restorations
       - Multiple extractions of teeth other than primary incisors
       - Multiple endodontic procedures on posterior teeth (pulpotomies)
       - Stainless steel crowns and or multiple restorations on primary molar teeth
     AND
   - Two previous attempts at office-based dental intervention demonstrate Member is extremely fearful, anxious or uncooperative, and safe, effective dental intervention is not possible without hospital based anesthesia services.
   OR
   - Member has a medical co-morbidity or developmental disability, as determined by a licensed physician, which places the Member at serious risk for peri-operative complications.
   Co-morbidities or disabilities may include, but are not limited to:
• Autism
• Downs Syndrome
• Cerebral Palsy
• Cardiopulmonary problems
• Hemophilia

**Note:** The presence of a co-morbidity in itself does not guarantee approval.

**LIMITATIONS**
Tufts Health Plan will not cover hospitalization for Members receiving discretionary dental procedures, such as tooth extraction prior to elective orthodontia.

**CODES**
The following HCPCS codes require prior authorization:

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
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<tbody>
<tr>
<td>D0120–D9999</td>
<td>Dental procedures</td>
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**REFERENCES**

**APPROVAL HISTORY**
February 1999: Reviewed by the Clinical Coverage Criteria Committee

Subsequent endorsement date(s) and changes made:
- October 2000: Renewed, no changes
- October 2001: Renewed, no changes
- February 2002: Renewed, no changes
- July 23, 2003: Renewed, updated to new format, term ‘non-discretionary’ added as a description of the type of dental procedures referred to by the guidelines.
- August 20, 2004: Reviewed and renewed
- October 14, 2005: Definition of 'young age' clarified as under age 48 months.
- October 16, 2006: Reviewed and renewed without changes
- October 1, 2007: Reviewed and renewed without changes
- October 15, 2008: Reviewed and renewed without changes
- May 4, 2009: Age requirement changed from under 48 months to under 84 months of age
- November 19, 2009: Administrative process updated
- April 14, 2010: Deleted (Examples of severe medical co-morbidities include the following), added e.g., after outpatient setting, deleted "severe" before medical co-morbidities.
- August 2011: Reviewed by Medical Affairs, Medical Policy. "Including but not limited to” added to co-morbidity language. In addition a note explaining that the presence of co-morbidity does not guarantee approval was added.
- December 28, 2012: Reviewed by the Integrated Medical Policy Advisory Committee (IMPAC), no changes
- December 11, 2013: Reviewed by IMPAC, renewed without changes
- December 10, 2014: Reviewed by IMPAC. First bullet: the age requirement changed from 84 months to 7 years of age or less.
- August 12, 2015: Reviewed by IMPAC, renewed without changes
- September 2015: Branding and template change to distinguish Tufts Health Plan products in "Applies to" section. Added Tufts Health Freedom Plan products, effective January 1, 2016.
• July 20, 2016: Reviewed by IMPAC. Removed criteria that complex dental work be performed on non-anterior teeth only.
• August 10, 2016: Reviewed at IMPAC. For effective date January 1, 2017, change to criteria for complex dental work; age limit removed and addition to criteria for attempts at office based intervention.
• April 2017: Added RITogether Plan product to template. For MNGs applicable to RITogether, effective date is August 1, 2017
• July 20, 2017: Reviewed by IMPAC, renewed without changes
• August 22, 2018: Reviewed by IMPAC, renewed without changes
• October, 2018: Template and disclaimer updated

BACKGROUND, PRODUCT AND DISCLAIMER INFORMATION
Medical Necessity Guidelines are developed to determine coverage for benefits, and are published to provide a better understanding of the basis upon which coverage decisions are made. We make coverage decisions using these guidelines, along with the Member’s benefit document, and in coordination with the Member’s physician(s) on a case-by-case basis considering the individual Member’s health care needs.

Medical Necessity Guidelines are developed for selected therapeutic or diagnostic services found to be safe and proven effective in a limited, defined population of patients or clinical circumstances. They include concise clinical coverage criteria based on current literature review, consultation with practicing physicians in our service area who are medical experts in the particular field, FDA and other government agency policies, and standards adopted by national accreditation organizations. We revise and update Medical Necessity Guidelines annually, or more frequently if new evidence becomes available that suggests needed revisions.

For self-insured plans, coverage may vary depending on the terms of the benefit document. If a discrepancy exists between a Medical Necessity Guideline and a self-insured Member’s benefit document, the provisions of the benefit document will govern.

Treating providers are solely responsible for the medical advice and treatment of Members. The use of this guideline is not a guarantee of payment or a final prediction of how specific claim(s) will be adjudicated. Claims payment is subject to eligibility and benefits on the date of service, coordination of benefits, referral/authorization, utilization management guidelines when applicable, and adherence to plan policies, plan procedures, and claims editing logic.