Medical Necessity Guidelines: Bariatric Surgery

Effective: January 9, 2019

Prior Authorization Required
If REQUIRED, submit supporting clinical documentation pertinent to service request.

Yes ☒ No ☐

Applies to:
COMMERCIAL Products
☒ Tufts Health Plan Commercial products; Fax: 617.972.9409
☒ Tufts Health Freedom Plan products; Fax: 617.972.9409
• CareLink℠ – Refer to CareLink Procedures, Services and Items Requiring Prior Authorization

TUFTS HEALTH PUBLIC PLANS Products
☒ Tufts Health Direct – A Massachusetts Qualified Health Plan (QHP) (a commercial product); Fax: 888.415.9055
☒ Tufts Health Together – MassHealth MCO Plan and Accountable Care Partnership Plans; Fax: 888.415.9055
☒ Tufts Health RITogether – A Rhode Island Medicaid Plan; Fax: 857.304.6404
☒ Tufts Health Unify* – OneCare Plan (a dual-eligible product); Fax: 781.393.2607
*The MNG applies to Tufts Health Unify members unless a less restrictive LCD or NCD exists.

SENIOR Products
• Tufts Health Plan Senior Care Options (SCO), (a dual-eligible product) – Refer to the Tufts Health Plan SCO Prior Authorization List
• Tufts Medicare Preferred HMO, (a Medicare Advantage product) – Refer to the Tufts Medicare Preferred HMO Prior Authorization and Inpatient Notification List

To obtain InterQual® SmartSheets™:
• Tufts Health Plan Commercial Plan products and Tufts Health Freedom Plan products: If you are a registered Tufts Health Plan provider click here to access the Provider website. If you are not a Tufts Health Plan provider please click on the Provider Log-in and follow instructions to register on the Provider website or call Provider Services at 888.884.2404.
• Tufts Health Public Plans products: InterQual SmartSheet(s) available as part of the prior authorization process.

Note: While you may not be the provider responsible for obtaining prior authorization, as a condition of payment you will need to make sure that prior authorization has been obtained.

Tufts Health Plan requires the use of an InterQual SmartSheet to obtain prior authorization for Bariatric Surgery.

In order to obtain prior authorization for procedure(s), choose appropriate InterQual SmartSheet(s) listed below. The completed SmartSheet(s) must be sent to the applicable fax number listed above, according to Plan.

• Bariatric Surgery, Adjustable Gastric Banding
• Bariatric Surgery, Biliopancreatic Diversion with Duodenal Switch
• Bariatric Surgery, Revisional Procedure
• Bariatric Surgery, Roux-en-Y Gastric Bypass (RYGB)
• Bariatric Surgery, Sleeve Gastrectomy

Tufts Health Plan may cover bariatric surgery for Members ≥ 13 and < 18 years of age. In order to obtain prior authorization for procedure(s), choose appropriate InterQual SmartSheet(s) listed below. The completed SmartSheet(s) must be sent to the applicable fax number listed above, according to Plan.

• Bariatric Surgery, Adjustable Gastric Banding (Adolescent)
• Bariatric Surgery, Roux-en-Y Gastric Bypass (RYGB) (Adolescent)
• Bariatric Surgery, Sleeve Gastrectomy (Adolescent)

TUFTS HEALTH PLAN COMMERCIAL PRODUCTS FACILITIES *

Note: * Excludes: Tufts Health Public Plan products and Tufts Health Freedom Plan products.
HMO and EPO Products:
Bariatric surgery must be performed at facilities in the Tufts Health Plan Designated Provider Network for Bariatric Surgery (DPNBS) in order for the procedure to be covered for HMO and EPO Members.

POS and PPO Products:
If POS and PPO Members want to receive coverage at the authorized/In-network level of benefits, bariatric surgery must be performed at one of the facilities in the Tufts Health Plan Designated Provider Network for Bariatric Surgery (DPNBS). For POS/ PPO Members, if bariatric surgery is not performed at a DPNBS facility, coverage will be provided at the unauthorized/out of network level of benefits.

Note: For ‘Bariatric Reoperation’ refer to the Medical Necessity Guidelines: Bariatric Reoperation for Complications.

CODES

Procedures REQUIRING PRIOR AUTHORIZATION (ADULTS):
Tufts Health Plan will be using the InterQual SmartSheet of the following procedure code(s) only for Members ≥ 18 years of age.

BARIATRIC SURGERY, ADJUSTABLE GASTRIC BANDING
The following CPT codes require prior authorization:

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>43770</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; placement of adjustable gastric band (gastric band and subcutaneous port components)</td>
</tr>
</tbody>
</table>

BARIATRIC SURGERY, BILIOPANCREATIC DIVERSION WITH DUODENAL SWITCH
The following CPT codes require prior authorization:

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>43645</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; with gastric bypass and small intestine reconstruction to limit absorption</td>
</tr>
</tbody>
</table>

BARIATRIC SURGERY, REVISIONAL PROCEDURE
The following CPT codes require prior authorization:

<table>
<thead>
<tr>
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</thead>
<tbody>
<tr>
<td>43771</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; revision of adjustable gastric restrictive device component only</td>
</tr>
<tr>
<td>43772</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; removal of adjustable gastric restrictive device component only</td>
</tr>
<tr>
<td>43773</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; removal and replacement of adjustable gastric restrictive device component only</td>
</tr>
<tr>
<td>43774</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; removal of adjustable gastric restrictive device and subcutaneous port components</td>
</tr>
<tr>
<td>43848</td>
<td>Revision, open, of gastric restrictive procedure for morbid obesity, other than adjustable gastric band (separate procedure)</td>
</tr>
</tbody>
</table>

BARIATRIC SURGERY, ROUX-EN-Y GASTRIC BYPASS (RYGB)
The following CPT codes require prior authorization:

<table>
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<tbody>
<tr>
<td>43644</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; with gastric bypass and Roux-en-Y gastroenterostomy (roux limb 150 cm or less)</td>
</tr>
<tr>
<td>43846</td>
<td>Gastric restrictive procedure, with gastric bypass for morbid obesity; with short limb (150 cm or less) Roux-en-Y gastroenterostomy</td>
</tr>
<tr>
<td>43847</td>
<td>Gastric restrictive procedure, with gastric bypass for morbid obesity; with small intestine reconstruction to limit absorption</td>
</tr>
</tbody>
</table>

BARIATRIC SURGERY, SLEEVE GASTRECTOMY
The following CPT codes require prior authorization:
### Codes

**Codes Procedures REQUIRING PRIOR AUTHORIZATION (ADOLESCENTS):**
Tufts Health Plan will be using the InterQual® SmartSheet™ of the following procedure code(s) only for Members ≥ 13 and < 18 years of age.

**Bariatric Surgery, Adjustable Gastric Banding (Adolescent)**
The following CPT codes require prior authorization:

<table>
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<tbody>
<tr>
<td>43770</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; placement of adjustable gastric band (gastric band and subcutaneous port components)</td>
</tr>
</tbody>
</table>

**Bariatric Surgery, Roux-en-Y Gastric Bypass (RYGB) (Adolescent)**
The following CPT codes require prior authorization:

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<tbody>
<tr>
<td>43644</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; with gastric bypass and Roux-en-Y gastroenterostomy (150 cm or less)</td>
</tr>
<tr>
<td>43845</td>
<td>Gastric restrictive procedure with partial gastrectomy, pylorus-preserving duodenoileostomy and ileoileostomy (50-100 cm common channel) to limit absorption (biliopancreatic diversion with duodenal switch)</td>
</tr>
<tr>
<td>43846</td>
<td>Gastric restrictive procedure, with gastric bypass for morbid obesity; with short limb (150 cm or less) Roux-en-Y gastroenterostomy</td>
</tr>
<tr>
<td>43847</td>
<td>Gastric restrictive procedure, with gastric bypass for morbid obesity; with small intestine reconstruction to limit absorption</td>
</tr>
</tbody>
</table>

**Bariatric Surgery, Sleeve Gastrectomy (Adolescent)**
The following CPT codes require prior authorization:

<table>
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</thead>
<tbody>
<tr>
<td>43775</td>
<td>Laparoscopy, surgical, gastric restrictive device procedure; longitudinal gastrectomy (i.e., sleeve gastrectomy)</td>
</tr>
</tbody>
</table>

**Limitations**
- Tufts Health Plan considers intragastric balloon procedures for the treatment of obesity (e.g. Orbera Intragastric Balloon System, ReShape Integrated Dual Balloon System) to be investigational and, therefore, not medically necessary.
- Tufts Health Plan considers endoscopic sclerotherapy for bariatric indications (e.g. revision of Roux-en-Y procedure to address weight regain) and endoscopic gastric suturing (e.g. with the Apollo Overstitch™ System) for revision of gastric bypass or as a primary bariatric procedure to be investigational and, therefore, not medically necessary.
- Tufts Health Plan considers single anastomosis gastric bypass (also referred to as “mini gastric bypass”) to be investigational and, therefore, not medically necessary.

**References**
APPROVAL HISTORY
January 1, 2007: Reviewed by the Medical Affairs Medical Policy Committee

Subsequent endorsement date(s) and changes made:
- April 16, 2007: Changes to coverage criteria made regarding Member's BMI and co-morbid medical conditions
- May 29, 2007: Additional information added regarding coverage for different benefit plan documents.
- May 12, 2008: CPT Code 43845 removed from this guideline. This code is not covered experimental/investigational and is on Tufts Health Plan's Statements of Non Coverage.
- October 29, 2008: Reviewed and renewed without changes
- November 19, 2009: Administrative process updated
- December 23, 2009: Reviewed and no changes made
- December 2010: Reviewed by Medical Affairs, Medical Policy, no changes
- January 18, 2012: Reviewed by IMPAC, no changes
- October 10, 2012: Reviewed by IMPAC. A completed InterQual® SmartSheet™ for this procedure will be required effective January 1, 2013 along with Tufts Health Plan additional coverage guidelines concerning the iCanChange™ program and Designated Provider Networks.
- December 12, 2012: Reviewed by IMPAC. MNG and InterQual® SmartSheet™ approved. Coding updated
- December 11, 2013: Reviewed by IMPAC, renewed without changes.
- November 19, 2014: Participation in the Tufts Health Plan iCanChange™ program clarified.
- November 19, 2014: Reviewed by IMPAC. Health Programs informed the committee that the iCanChange Program will cease June 30, 2015. The enrollment requirement will stop effective January 1, 2015. Members who are enrolled may voluntarily choose to continue participation in the program until completion through June 30, 2015. Effective January 1, 2015 the InterQual® SmartSheet™ Organizational Policy Note will be amended to 'The Member has a documented history of weight management attempts and has been unable to maintain sustained weight loss'.
- December 10, 2014: Reviewed at IMPAC. Confirmed the enrollment requirement for the iCanChange™ program will cease effective January 1, 2015. The iCanChange™ program language removed from all coverage criteria.
- January 1, 2015: Instructions for Tufts Health Plan – Network Health products included in this document.
- March 11, 2015: Reviewed by IMPAC. Link added to separate Medical Necessity Guidelines: 'Bariatric Reoperation for Complications’
- July 23, 2015: Reviewed by IMPAC, renewed without changes.
- September 2015: Branding and template change to distinguish Tufts Health Plan products in "Applies to" section. Added Tufts Health Freedom Plan products, effective January 1, 2016.
- October 14, 2015: Reviewed by IMPAC. Limitation added regarding metabolic surgery for treatment of type 2 diabetes of individuals with BMI between 35 and 39 effective April 1, 2016.
- January 13, 2016: Reviewed by IMPAC. Bariatric surgery for Members ≥ 13 and < 18 years of age added for an effective date of July 1, 2016.
- March 9, 2016: Reviewed by IMPAC. Wording changes to clarify the designated facility listings; and adolescent bariatric facilities added to the link on page one effective July 1, 2016.
- July 20, 2016: Reviewed by IMPAC, renewed without changes.
- April 2017: Added RITogether Plan product to template. For MNGs applicable to RITogether, effective date is August 1, 2017
- July 20, 2017: Reviewed by IMPAC, renewed without changes
- September 12, 2018: Reviewed by IMPAC, intragastric balloon procedures added to limitation section.
- December 12, 2018: Reviewed by IMPAC, update to “Limitations” section (limitation regarding coverage for Type 2 diabetes removed).
- January 9, 2019: Reviewed by IMPAC, update to "Limitations” section.
**BACKGROUND, PRODUCT AND DISCLAIMER INFORMATION**

Medical Necessity Guidelines are developed to determine coverage for benefits, and are published to provide a better understanding of the basis upon which coverage decisions are made. We make coverage decisions using these guidelines, along with the Member’s benefit document, and in coordination with the Member’s physician(s) on a case-by-case basis considering the individual Member’s health care needs.

Medical Necessity Guidelines are developed for selected therapeutic or diagnostic services found to be safe and proven effective in a limited, defined population of patients or clinical circumstances. They include concise clinical coverage criteria based on current literature review, consultation with practicing physicians in our service area who are medical experts in the particular field, FDA and other government agency policies, and standards adopted by national accreditation organizations. We revise and update Medical Necessity Guidelines annually, or more frequently if new evidence becomes available that suggests needed revisions.

For self-insured plans, coverage may vary depending on the terms of the benefit document. If a discrepancy exists between a Medical Necessity Guideline and a self-insured Member’s benefit document, the provisions of the benefit document will govern.

Treating providers are solely responsible for the medical advice and treatment of Members. The use of this guideline is not a guarantee of payment or a final prediction of how specific claim(s) will be adjudicated. Claims payment is subject to eligibility and benefits on the date of service, coordination of benefits, referral/authorization, utilization management guidelines when applicable, and adherence to plan policies, plan procedures, and claims editing logic.